

# Beyond Matches:

## Understanding Smarter Name Screening

## About Speakers



### Arjun Mohan

Arjun is a Certified Financial Crime Specialist (CFCS) with a B.Tech in Information Technology and a Master's in Management, bringing together technical expertise and a strong grounding in financial crime and compliance. As the Founder and CEO of Citadel365, he brings over a decade of experience working with regulated entities on compliance technology and digital transformation, with a focus on building solutions that work in practice.



### Nandana Venugopal

Nandana Venugopal is a product leader with over a decade of experience in software development across multinational corporations and startups. She holds an M.Tech in Computer Science and Engineering and has worked across roles in engineering, development, and business analysis. Currently, as Head of Products at Citadel, she converts complex regulatory requirements into clear, scalable product features that are built for real-world implementation.

# About Citadel365

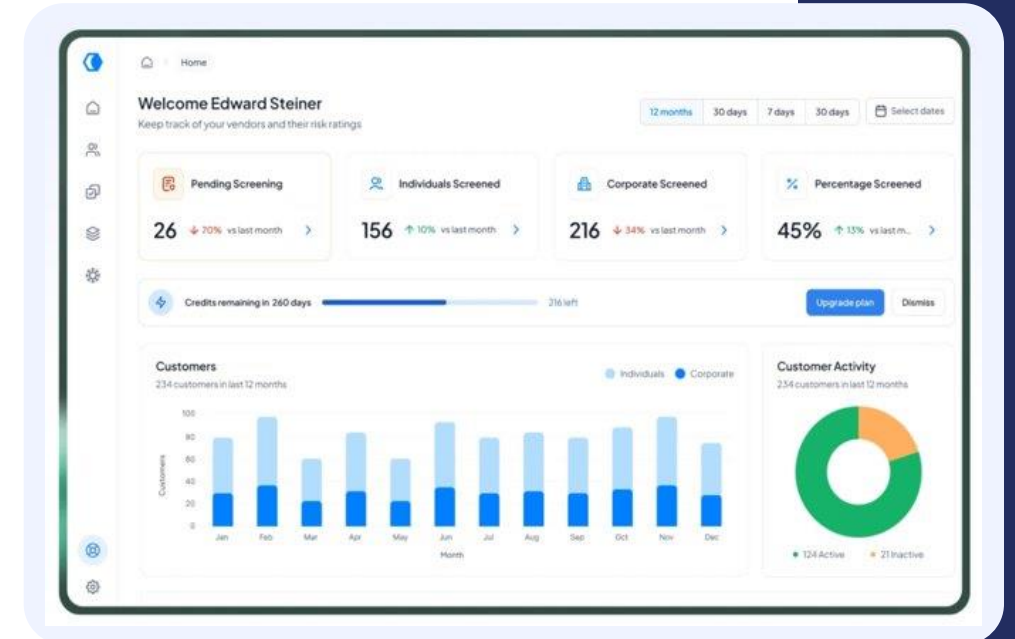


Citadel365 is a single, integrated AML/CFT platform that turns episodic checklists into a continuous, auditable compliance lifecycle, built by AML/CFT veterans from Vertex Compliance.

## Citadel365 supports:

- ❖ Customer onboarding with self-KYC and document capture, which shortens the onboarding life cycles
- ❖ Sanctions, PEP, and adverse media screening in real time with exportable, timestamped records
- ❖ Risk assessment module with configurable scoring, thresholds, and weightages
- ❖ Case management with clear controls and audit trails

The software supports DNFBPs, VASPs, banks, fintechs, financial institutions, and regulated entities, shaping their compliance practices for what comes next.



# About Vertex Compliance



Vertex Compliance is a leading provider of comprehensive compliance and risk management solutions, dedicated to helping businesses navigate complex regulatory environments.

Vertex specialises in AML, KYC, and regulatory compliance, offering tailored solutions to meet the unique needs of various industries.

We also provide consulting services pertaining to FATCA/CRS, Data Protection Laws, and Trade Finance Compliance.



❖ This session is intended to enhance compliance awareness and operational readiness.

❖ It does not constitute legal advice.

❖ Requirements may vary by sector, supervisory authority, and licence conditions.

❖ Entities should align final implementation with applicable UAE laws, executive regulations, and sector-specific guidance.

Identify common challenges in sanctions and name screening

Understand how Citadel365 approaches screening and monitoring

Review key capabilities through a live demonstration

# Familiar Screening Pain-Points That Slow Compliance Teams Down

## **Excessive false positives**

Screening tools treat every name similarly as a threat, flood teams with irrelevant alerts and waste investigation hours on innocent customers

## **Fragmented PEP & adverse media identification**

PEP status, geography, family links, and adverse media are scattered across systems, with no unified classification or context.

## **Stale or infrequent updates**

Watchlists that are updated weekly or manually create dangerous gaps. New designations between cycles go undetected.

## **No post-onboarding visibility**

Customers screened once at onboarding are never re-evaluated. A clean record today may not be clean tomorrow.

# Citadel365's Screening Scope

## 1. Onboarding screening

Every new customer, individual or corporate, is screened at the point of onboarding before being admitted.

## 2. Periodic screening

Frequency is configurable via screening settings. Existing customers are rescreened against updated lists on a schedule you control.

## 3. Daily end-of-day rescreening

All onboarded customers are automatically rescreened at the end of the day with the latest KYC6's data. New alerts are highlighted directly.

## 4. Individuals & Legal Entities

Separate screening flows for natural persons and corporate entities, each with relevant input fields and dataset matching.

# Global Coverage: Datasets & Watchlists Supported

All datasets are updated in real-time via KYC6. Datasets include:

Sanctions lists (OFAC, UN, EU, UK, HMT, and others)

Politically exposed persons (PEP) database

Adverse media/negative news

Regulatory enforcement lists

Global watchlists

# Continuous Monitoring

## Real-time list updates

All watchlists are updated continuously, no batch delays or manual triggers

## Daily end-of-day rescreening

All onboarded customers are rescreened at the end of the day against the latest data automatically

## New alerts are clearly highlighted

Alerts generated from ongoing monitoring are surfaced distinctly, so compliance officers never miss a new match

## Manual case creation

Compliance officer reviews, releases or escalates each alert. Sanctions matches or suspicious triggers manual case creation in Citadel365

# Matching & PEP Identification



Matching Approach	PEP Classification
<ul style="list-style-type: none"><li>❖ Multi-layered matching logic (e.g., fuzzy matching, phonetic similarity, alias handling)</li></ul>	<ul style="list-style-type: none"><li>❖ Tiered categorisation (Tier 1, Tier 2, Tier 3)</li></ul>
<ul style="list-style-type: none"><li>❖ Configurable thresholds to manage sensitivity and reduce false positives</li></ul>	<ul style="list-style-type: none"><li>❖ Identification of current, former, and associated PEPs</li></ul>



# Reasons Compliance Teams Choose Citadel365

## Real-time screening updates

- ❖ Instant, always-current results powered by KYC6's live data. No gaps between list updates and your screening engine.

## Easy identification of new monitoring alerts

- ❖ Alerts from ongoing monitoring are distinctly highlighted so compliance officers can tell immediately which matches are new and which have already been reviewed.

## Configurable screening datasets

- ❖ Turn on or off PEP, sanctions, adverse media, and regulatory enforcement lists based on your organisation's regulatory obligations and risk appetite.

## Advanced matching configurable

- ❖ Set fuzzy thresholds, transliteration rules, and match filters to drastically cut false positives so your team focuses only on actual alerts.

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# Citadel365's Free Trial





# Thank You



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